

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10716,316
				Filing Date	November 17, 2003
				First Named Inventor	Xiaochun Nie
				Group Art Unit	2621
				Examiner Name	WERNER, DAVID N
Sheet	1	of	4	Attorney Docket Number	APLE.P0036

U.S. PATENT DOCUMENTS						
Examiner* Initials	Cite No. ¹	U.S. Patent Document		Date of Publication MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
/D.N.W./	1.	5,038,209		08-06-1991	Hang, Hsueh-Ming	
/D.N.W./	2.	5,677,969		10-14-1997	Auyeung, Cheung, et al.	
/D.N.W./	3.	5,929,916		07-27-1999	Legall, Didier J., et al.	
/D.N.W./	4.	6,023,296		02-08-2000	Lee, Hung-Ju, et al.	
/D.N.W./	5.	6,198,878		03-06-2001	Blawat, Meinolf, et al.	
/D.N.W./	6.	6,229,849		05-08-2001	Mihara, Kanji	
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/D.N.W./	10.	6,847,656		01-25-2005	Wu, Siu-Wai, et al.	
/D.N.W./	11.	6,961,376		11-01-2005	Wu, Siu-Wai, et al.	
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/D.N.W./	13.	2002/0012395		01-31-2002	Song, Joon-Ho, et al.	
/D.N.W./	14.	2002/0126752		09-12-2002	Kim, Eung T.	
/D.N.W./	15.	2002/0136295		09-26-2002	Sato, Kazushi	
/D.N.W./	16.	2002/0136304		09-26-2002	Akhan, Mehmet B., et al.	
/D.N.W./	17.	2003/0072364		04-17-2003	Kim, Hyun M., et al.	

COMMONLY OWNED U.S. PATENT DOCUMENTS						
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/D.N.W./	18.	12/820,106		06-21-2010 (filing date)	Pun, Thomas, et al.	Non-published commonly owned U.S. Patent Application 12/820,106 (APLE.P0028DIV1)

Examiner Signature	/David Werner/	Date Considered	11/30/2010
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/D.N.W./	19.	7,769,084		08-03-2010	Pun, Thomas, et al.	Issued Patent of commonly owned U.S. Patent Application 10/427,843 (APLE.P0028), listed as item #24 in the 1449 filed 08-02-2007

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		Number	Kind Code ² (If known)			
/D.N.W./	20.	Updated portions of Prosecution History for 10/427,669		06-10-2008	Nie, Xiaochun, et al.	Updated portions of prosecution history for commonly owned U.S. Patent Application 10/427,669 (APLE.P0020), now issued U.S. Patent 7,418,037, listed as item #5 in the 1449 filed 02-19-2009, including action(s) dated 06-10- 2008 and 02-28-2007; and response(s)/amendment(s) dated 02-27-2008, 09-04-2007, 01-12- 2007, and 07-20-2006 (60 pages)
/D.N.W./	21.	Portions of Prosecution History for 12/165,625		08-22-2008	Nie, Xiaochun, et al.	Portions of prosecution history for commonly owned U.S. Patent Application 12/165,625 (APLE.P0020C2), listed as item #7 in the 1449 filed 02-19-2009, including response(s)/amendment(s) dated 08-22-2008 (11 pages)

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/D.N.W./	22.	Updated Portions of Prosecution History for 10/427,843		05-17-2010	Pun, Thomas, et al.	Updated portions of prosecution history for commonly owned U.S. Patent Application 10/427,843 (APLE.P0028), now issued U.S. Patent 7,769,084, listed as item #19 above, including action(s) dated 04-02-2010, 02-22-2010, 01-28-2010, 10-30-2009, 10-02-2008; and response(s)/amendment(s) dated 05-17-2010, 02-01-2010, 01-21-2010, 03-05-2009, 02-25-2008, 06-27-2007, and 11-30-2006 (144 pages)
/D.N.W./	23.	Updated Portions of Prosecution History for 10/716,265		05-13-2010	Pun, Thomas, et al.	Updated portions of prosecution history for commonly owned U.S. Patent Application 10/716,265 (APLE.P0037), listed as item #25 in the 1449 filed 08-02-2007, including action(s) dated 05-13-2010, 01-04-2010, 04-06-2009, 12-11-2008, 08-22-2008, and 07-14-2008; and response(s)/amendment(s) dated 04-04-2010, 09-04-2009, 08-11-2009, 12-29-2008, 12-01-2008, 10-22-2008, 03-17-2008, 12-12-2007, 07-30-2007, and 04-07-2004 (183 pages)

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		Office ³	Number ⁴	Kind Code (if known) ⁵			
/D.N.W./	24.	WO	02/096120		11-28-2002	Centre For Signal Processing, Nanyang Technological University	

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NON PATENT LITERATURE DOCUMENTS			
Examiner* Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
/D.N.W./	25.	LEE, HUNG-JU, et al. "Scalable Rate Control for MPEG-4 Video", IEEE Transactions on Circuits And Systems for Video Technology, September 2000, pp. 878-894, Vol. 10, No. 6.	

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